Pioneer Wealth Management Services Ltd. – SEBI Registered Investment Advisor (SEBI Reg. no. INA000017259) Investor Grievance Data

## 1. Data for the month ending- October 2025

Sr.	Investor	Pending	Received	Resolved	Total	Pending	Average
No.	Complaints	as at the		*	Pending	complaints	Resolution
	received	end of			#	> 3months	time ^
	from	the last					(in days)
		month					
1	Directly	Nil	Nil	Nil	Nil	Nil	Nil
	from						
	Investors						
2	SEBI	Nil	Nil	Nil	Nil	Nil	Nil
	(SCORES)						
3	Other Sources	Nil	Nil	Nil	Nil	Nil	Nil
	(if any)						
Total	1	Nil	Nil	Nil	Nil	Nil	Nil

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

### 2. Trend of monthly disposal of complaints

Sr.	Month	Carried forward from	Received	Resolved	Pending
No.		previous month			
1.	April, 2025	Nil	Nil	Nil	Nil
2.	May, 2025	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month #Inclusive of complaints pending as on the last day of the month.

3.	June, 2025	Nil	Nil	Nil	Nil
4.	July, 2025	Nil	Nil	Nil	Nil
5.	August, 2025	Nil	Nil	Nil	Nil
6.	September, 2025	Nil	Nil	Nil	Nil
7.	October, 2025	Nil	Nil	Nil	Nil
Grand 1	<b>Total</b>	Nil	Nil	Nil	Nil

## 3. Trend of annual disposal of complaints

Sr.	Year	Carried forward from	Received	Resolved	Pending
No.		Previous year			
1	2022-23	Nil	Nil	Nil	Nil
2	2023-24	Nil	Nil	Nil	Nil
3	2024-25	Nil	Nil	Nil	Nil
4	2025-26	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

# 4)To register a formal complaint with the Company, please write to riacompliance@pinc.co.in with your following details:

- i) Full name
- ii) Registered mobile number
- iii) PAN card
- iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail mentioning above details)

## 5) SCORES website link <a href="https://scores.sebi.gov.in">https://scores.sebi.gov.in</a>

(On Clicking SCORES it will take directly to website to lodge the complaint)

6) SMART ODR Link https://smartodr.in/login